



Chartered  
Institute of  
Environmental  
Health

Response to the Draft Smoke-Free (General Provisions)  
Regulations (Northern Ireland) 2007

and

The Draft Smoke-Free (Exemptions, Vehicles, Penalties  
and Discounted Amounts) Regulations (Northern  
Ireland) 2007

2 November 2006

# The Chartered Institute of Environmental Health

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Any enquiries about this response should be directed in the first instance to:

Gary McFarlane  
Director NI  
Chartered Institute of Environmental Health  
Philip House  
123 York Street  
Belfast  
BT15 1AB

Telephone 028 9024 3884  
Email [g.mcfarlane@cieh.org](mailto:g.mcfarlane@cieh.org)

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**THE DRAFT SMOKE-FREE (GENERAL PROVISIONS)  
REGULATIONS (NORTHERN IRELAND) 2007  
AND  
THE DRAFT SMOKE-FREE (EXEMPTIONS, VEHICLES,  
PENALTIES AND DISCOUNTED AMOUNTS)  
REGULATIONS (NORTHERN IRELAND) 2007**

**CONSULTATION QUESTIONNAIRE**

**SEPTEMBER 2006**

## **INTRODUCTION**

### **Purpose**

This Questionnaire seeks views on:

1. the draft Smoke-free (General Provisions) Regulations (Northern Ireland) 2007; and
2. the draft Smoke-free (Exemptions, Vehicles, Penalties and Discounted Amounts) Regulations (Northern Ireland) 2007.

It should be read in conjunction with the consultation document which includes the draft regulations.

### **Background**

The draft Smoking (Northern Ireland) Order 2006 (the draft Order) is currently before Parliament. It is anticipated that it will complete its legislative process in November 2006. If approved, the Order will come into operation in April 2007, provide for enclosed public places and workplaces to be smoke-free and give the Department of Health, Social Services and Public Safety (the Department) the power to make regulations in a number

of areas. The draft regulations that the Department proposes to make, have been drawn up on the assumption that the draft Order will be approved by Parliament.

### **Consultation**

Responses to the consultation must be received by not later than 5.00pm on 3 November 2006.

**In order to facilitate analysis it is important that respondents use this Questionnaire.**

**Responses to this consultation may be made online at:**

**[http://www.dhsspsni.gov.uk/index/consultations/current\\_consultations.htm](http://www.dhsspsni.gov.uk/index/consultations/current_consultations.htm)**

## QUESTIONNAIRE

### **DRAFT SMOKE-FREE (GENERAL PROVISIONS) REGULATIONS (NORTHERN IRELAND) 2007**

**Q1. Draft regulation 3** sets out proposed requirements for the content and display of no-smoking signs in premises. Each entrance to smoke-free premises will be required to display a no-smoking sign that meets the standard minimum requirements i.e. a flat rectangular sign with minimum dimensions of 148mm by 210 mm, display the international “no smoking” symbol and carry the words “No smoking. It is against the law to smoke in these premises” (Paragraphs 2.6 – 2.11 of the consultation document refer).

**Do you agree with the proposals for the content and display of no-smoking signs in premises set out in regulation 3?**

Yes  No

**If you wish to comment, please do so here.**

CIEH believe that the requirement for signage at entrances is crucial in securing compliance with smoke-free requirements. We therefore believe that it is essential that signs are correctly positioned and contain the right information. We are concerned that the wording in the explanatory guidance appears to be more stringent than in the draft regulation in a particularly important respect. We have observed that even where no smoking signs comply with the requirement to be displayed in entrances they may still not be visible to persons entering and therefore users of the premises may not be aware that the building is smoke-free.

We recommend that the following wording be used

“In each entrance to a smoke-free premises there shall be displayed in a prominently visible position at all times to persons entering the premises at least one A5 sign made of durable materials that -”

This requirement should extend to any entrance that is not exclusively providing access to private residential accommodation or in use only as a fire exit.

In order to avoid signs at entrances becoming damaged and defaced they should be required to be of durable material or be suitably protected.

It is our view that signs should also contain details of to whom a complaint should be made should someone observe smoking in smoke free premises. This requirement exists in both Scotland and the Republic of Ireland. We would suggest that the following could be added to the regulation 3;

“(c) Displays the name of the person or holder of a particular post to whom a complaint may be made by any person who observes another person smoke in the smoke-free premises in question and state that a complaint may be so made.”

CIEH believe that the addition of the above wording is important as it reinforces the message to both the owner of the premises and the public that it is the responsibility of the management of the premises to ensure that premises are smoke-free.

**Q2. Draft regulation 4** sets out proposed requirements for the content and display of no-smoking signs in smoke-free vehicles. The operator of the smoke-free vehicle will be required to ensure that at least one no-smoking symbol is displayed in each compartment of his vehicle. The no smoking symbol consists of a graphic representation of a burning cigarette enclosed in a red circle with a red bar across it, at least 75mm in diameter (Paragraphs 2.12 – 2.15 of the consultation document refer).

**Do you agree with the proposals for the content and display of no-smoking signs in smoke-free vehicles?**

Yes  No

**If you wish to comment please do so here.**

We agree with the proposal in relation to the need for a sign in each compartment of the vehicle including the drivers' compartment. However we believe that there is a need for signage requirements to be consistent across the UK particularly as vehicles will cross between jurisdictions. CIEH therefore believe that the only pragmatic way to proceed is to harmonise the signage requirements for vehicles. As Scotland has already enacted requirements for smoke-free signs in vehicles we believe that England, Wales and Northern Ireland should replicate those requirements. In order that the new legislation does not become a burden on transport operators then there is a need for pragmatic enforcement in relation to vehicles fitted with signage that would not meet new requirements. Such an approach would enable signage to be updated over a period of time. The inclusion of text on vehicle signs stating "No smoking. It is against the law to smoke in this vehicle" would be of assistance to those with responsibilities for ensuring that vehicles are smoke-free. It is as important for vehicle signs to be prominent, visible and durable as it is for premises signs, we therefore believe that the same wording as proposed for signs above should be used

CIEH also believe that the addition of wording indicating to whom a complaint should be made is also important for vehicles as it reinforces the message to both the operator of the vehicle and the public that it is the responsibility of the operator to ensure that vehicles are smoke-free.

## **DRAFT SMOKE-FREE (EXEMPTIONS, VEHICLES, PENALTIES AND DISCOUNTED AMOUNTS) REGULATIONS (NORTHERN IRELAND) 2007**

**Q3. Draft regulation 2** sets out a proposed exemption for a private dwelling. Those parts of the dwelling that are shared with other premises (including other private dwellings) such as communal lifts, indoor stairwells, communal corridors in a block of flats etc., which are open to the public or used as places of work will be smoke-free. It is proposed that any part of a private dwelling that is used solely as a place of work by more than one person will be smoke-free. The smoke-free requirement will not apply to work that is undertaken in any part of private dwellings for the provision of personal care for the person living there or to maintain the structure or fabric of the building etc (Paragraphs 3.3 – 3.6 of the consultation document refer).

**Do you agree with the proposals in the draft regulations for private accommodation?**

Yes  No

**If you wish to comment please do so here.**

We note that it is not intended to require private residential space per se to be smoke-free unless they are open to the public or used as places of work and CIEH accepts the principle that in all other circumstances it is for the person who lives in the premises to decide whether or not to permit smoking.

The requirement for communal areas and common parts of private accommodation to be smoke-free is welcomed.

The proposal to exclude certain types of work (i.e. work that is undertaken to provide personal care for a person living there, to assist with domestic work, to maintain the structure or fabric of the dwelling, or to provide service there), from smoke free requirements for private accommodation causes us concern as we believe that all workers deserve protection from second hand smoke. However we do acknowledge that there is a need to achieve the correct balance between protection of workers from second hand smoke and the rights of a person in their own home. If this exemption is to remain there is a need for employers and self employed persons who provide such services to make arrangements about smoking and to adopt model policies that ensure the protection of workers who have to carry out work in private accommodation.

**Q4. Draft regulation 3** sets out proposed exemptions for designated bedrooms in a hotel, guest house, inn, hostel or members' club. It also specifies certain conditions which must be satisfied (Paragraphs 3.7 – 3.8 of the consultation document refer).

**Do you agree with the proposed exemptions and conditions in draft regulation 3 for hotels, guest houses, hostels etc?**

Yes  No

**If you wish to comment please do so here.**

We believe that there is no convincing argument for the inclusion of this exemption in the draft regulations and we feel that in certain instances it is possible that this exemption could be abused so that in effect a smoking room is being created in smoke-free premises.

Should the Department proceed with proposals to enable designated bedrooms to be exempt from smoke-free requirements then we feel that the conditions set out in the draft regulation are appropriate. However we feel that the exemption should only be for the residents of the bedroom and not for guests or employees of the hotel, this would ensure that abuse of the purpose of the designation does not take place.

There is need for clarity in relation to the process of designation and feel that it may be helpful if "designated" was more clearly defined in the regulations. There is also a need for clarity in relation to changing bedroom designations, particularly when a bedroom has been designated as a smoking bedroom. Complaints can be anticipated from non-smoking residents of hotels etc if they are allocated a non designated room and yet there is evidence of smoking in the room in the past. While there is no need for bedroom designation to be permanent we feel there is a need for some mechanism to regulate the designation process, this may be best achieved through guidance.

**Q5. Draft regulation 4** sets out proposed exemptions for designated rooms in residential care homes and nursing homes, hospices and those mental health units that provide long-term residential accommodation (long-term is defined as accommodation which, in the normal course of events, is provided for patients to occupy for not less than 6 months). It also specifies certain conditions which must be satisfied (Paragraphs 3.9 – 3.11 refer).

**Do you agree with the proposed exemptions and conditions in draft regulation 4 for care homes & nursing homes, hospices and mental health units?**

Yes  No

**If you wish to comment please do so here.**

CIEH have consistently stated that we believe that all workers deserved the same level of protection from second hand smoke but have also consistently acknowledged this may cause difficulties in certain premises. We feel that the Department must put measures in place that ensure the protection of workers from second hand smoke even where exemptions from smoke-free requirements are permitted. We feel that the exemption from smoke-free requirements can only be justified for residents of such premises and should not extend to visitors or staff similar to what we have stated above in relation to hotel bedrooms.

It is our view that where an exemption is available then conditions should be applied. These should ensure that persons who have to work in premises where a room is exempt from smoke-free requirements are given the maximum protection available from second hand smoke while not placing an unrealistic burden on the owner of the premises. The requirements could be set out in a code of good practice or guidance to accompany the regulations. CIEH would be interested in participating in the development of any such guidance.

**Q6. Draft regulation 8** sets out a proposed exemption for a designated room in a research or testing facility. This includes research or tests in relation to smoking and smoking cessation (Paragraphs 3.15 – 3.16 refer).

**Do you agree with the proposed exemption in draft regulation 8 in relation to research and testing facilities?**

Yes  No

**If you wish to comment please do so here**

The proposed exemption for research and testing facilities appears appropriate. There is however a need to ensure the protection of any person who has to work in the facility from the effects of secondhand smoke.

We believe that the department should issue guidance that sets out the standards that should be applied in protecting employees who have to work in such facilities.

**Q7. Draft regulation 9** sets out proposals for certain vehicles to be smoke-free (Paragraphs 3.27 – 3.32 refer).

**Do you agree with the proposals for smoke-free vehicles?**

Yes

No

**If you wish to comment please do so here.**

The proposals for smoke-free vehicles appear appropriate however it is not clear to what extent the regulation applies to a person's private car that they use for business purposes and receive expenses for the running of the vehicle from their employer. If such vehicles are covered by smoke-free requirements by virtue of the fact that they are used for work by more than one person do they then have to display no smoking signs and do they have to be smoke-free at all times? This issue needs to be clarified to ensure there is no confusion.

## 2.0 Additional comments on Northern Ireland draft smoke-free regulations.

### 2.1 Exemptions for workplaces

Whilst we note that the current proposals mean that virtually all workplaces will become smoke-free, we are concerned that there are proposed exemptions to which the generally acceptable argument for exemption as private residential space does not apply. Specialist tobacconists and places of performance and recording of live entertainment are essentially workplaces and the proposed exemptions cannot be supported on any grounds that include a consideration of protection of workers and members of the public.

### 2.2 Smoking shelters

CIEH has major concerns is in relation to the arrangements for the provision and use of structures that are not substantially enclosed (as defined) where they are erected for the specific purpose of providing a shelter for smokers. Whilst the definition of substantially enclosed is acceptable as far as it goes and accords with definitions in other UK countries, it is not only the construction but also the location of these shelters that will cause considerable concern for local authorities, trade representatives, businesses and individuals.

Through professional contacts in the Republic of Ireland and Scotland we have heard and seen at first hand the difficulties that have arisen with the erection of such structures, which are diverse in design and construction, as well as the adaptation of existing main structures, for the same purpose. Particular problems occur where the location of a shelter, or its close proximity to existing buildings, is such that effective natural ventilation is restricted e.g. enclosed by high walls of courtyards and lighting wells.

To assist building owners and managers in meeting these requirements, and to promote a consistent approach, we recommend that a Code of Practice or guidance is drawn up in consultation with trade representatives and key stakeholders, to provide model constructions and acceptable construction materials (e.g. non-flammable and readily cleansable). The Code should deal specifically with the distance that smoking shelters would need to be positioned away from adjacent walls and other structures in order to facilitate effective natural ventilation.

In order to be able to consider the proximity of other structures in determining whether something is enclosed or substantially enclosed then it will be necessary to add additional wording to the definition contained in regulation 2.

This could be achieved by defining the perimeter of the premises as including other structures that are within 1.5 metres and have the net effect of enclosing and restricting ventilation. If "perimeter of the premises" is not defined in such a way then we believe this will pose great difficulties for enforcement of the legislation. We are aware that professional colleagues in the Republic of Ireland are now experiencing

difficulties over this issue and it is our view that unless the definition of enclosed and substantially enclosed are further clarified then similar difficulties will be experienced in Northern Ireland.

We are also aware that many Environmental Health Officers in Northern Ireland are currently involved in discussions with building owners who intend to erect or adapt structures to allow smoking to continue in their premises. CIEH in NI has also been approached directly by organisations involved in the potential design of such premises who are seeking clarity on the requirements.

It is evident that greater clarity is needed to ensure the intention of the legislation is met.

### **2.3 Reflux smoke**

It can be anticipated that an effect of this legislation and the associated promotional publicity will increase people's concerns about, and sensitivity to, second hand smoke. Experience in the Republic of Ireland in particular indicates that people's expectations for smoke-free environments will increase. Many people will therefore be dissatisfied with arrangements that expose them to second hand smoke in unavoidable open air situations, such as seated areas in stadia, and where it arises from outside of buildings they are occupying, whether it arises from 'smoking shelters', open areas or the highway, and enters their workplaces and homes.

It is our view that the Department need to address these issues through appropriate guidance to business. It may be possible to link this issue to that of shelters etc discussed above.

We also believe that a review of the implementation of smoke-free legislation needs to take place at the earliest opportunity following its introduction. If issues such as shelters and reflux smoke are creating difficulties for those charged with the responsibility of enforcing the legislation then consideration should be given to providing a range of additional powers to enforcement officers to remedy these.

### **2.4 Defences**

It is our view that those in control of premises or vehicles need to be able to establish due diligence if they are to avail of the statutory defences. This would in our view include steps such as having a smoking policy, erecting appropriate signage, training staff, setting out procedures for dealing with persons who smoke in smoke-free premises, recording incidents of smoking, and having appropriate disciplinary procedures for employees who do not follow the company's smoking policy. It is important that those in control of premises or vehicles understand that the duty created to prevent smoking in smoke-free places is a positive one which would require them to take steps to prevent smoking where there is evidence of smoking, for example, by increasing signage in certain areas, by improving surveillance by staff, the use of detectors or alarms or use of CCTV where it is available.

It is our view that where a person holding a license to sell intoxicating liquor persistently fails to take all reasonable steps to secure compliance with this legislation and as a result members of their staff and the public are exposed to health risks, then the question of whether this is a fit and proper person to hold such a license should be a matter which the licensing authority can take into consideration in granting or renewing the license and in approving any further applications.

## **2.5 New Burdens Doctrine**

CIEH welcomes the Department's statement in the consultation document that resources to meet the additional costs of enforcement will be made available. This is clearly necessary in order to ensure the high levels of compliance that all those with an interest in this intervention would wish for.

A high level of voluntary compliance has been readily achieved in other countries, and it is hoped that this will also be the case in Northern Ireland. However, there will still be a considerable amount of work that will need to be undertaken to ensure the continued success of the legislation. The work involved in enforcement includes informing and advising all employers, identifying and visiting the high risk premises including outside normal office hours, warning, cautioning and ultimately taking legal action in respect of infringements, which could in exceptional circumstances include mounting covert surveillance with other agencies.

This represents a substantial additional workload and the New Burdens Doctrine provides that this cannot be funded by reducing existing local authority services. There are obviously economies that can be made by using existing staff and incorporating some activities into existing planned and programmed work. However, the existing workforce will need to be trained and kept up to date and supplemented by new posts.

## **2.6 Specialist tobacconists**

CIEH is strongly opposed to the granting of an exemption for specialist tobacconists. We feel that there is no case for the exemption of such premises and the small numbers of premises involved is not an important factor. It is our view that all employees deserve the same level of protection whether they work in a public house, an office, a supermarket or a retail shop. We have not heard any arguments that convince us that smoking on such premises are any more essential to their business than is smoking in licensed premises and members clubs.

## **2.7 Performers**

CIEH do not believe that there is any need to bring forward legislation to exempt performers. Smoke-free legislation has been successfully introduced in Scotland without such an exemption.

## **2.8 Specified conditions for premises with designated rooms for smoking**

Wherever a proposed exemption will permit smoking in an enclosed area that is not a person's private residential space (i.e. in a designated room) there should be additional requirements to protect workers from exposure to secondhand smoke, by effectively isolating the room in which smoking is to take place from any workroom or public space. These requirements should be based upon best practice and make use of the available technology. To that end, the Department could, along with interested parties, produce a Code of Practice. Compliance with the Code of Practice could then be taken into account in deciding whether an employer had properly exercised their duty of care in relation to protection of the health of their employees.